

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/553,423

Customer No.: 63710

Attorney Docket No.: CF/002

Date Filed: April 19, 2000

Title: SYSTEMS AND METHODS FOR TRADING

Applicants: Stuart A. Fraser et al.

Group Art Unit: 3628

Examiner: DASS, Harish T.

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as (i) a representation that a thorough search has been made; (ii) an admission that the information cited is, or is considered to be material to patentability; (iii) an admission that no other material information exists; or (iv) an admission that Applicants agree with any statement(s) in the information cited. Nor shall the

filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97, and 1.98. The items listed on the accompanying PTO Form-1449, Form SB08A, or Form SB08B may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed PTO Form-1449, Form SB08A, or Form SB08B and to independently ascertain their teaching.

Fees

No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(b)(4), before the mailing of a first Office action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

Respectfully submitted,

August 11, 2006
Date

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